

Three Variants of the DT0L Sequence Equivalence Problem

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Abstract: We discuss three variants of the DT0L sequence equivalence problem. One of the variants generalizes the ω -sequence equivalence problem of D0L systems for DT0L systems.

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1 Introduction

The ω -sequence equivalence problem for D0L systems was shown to be decidable by Culik II and Harju [2]. This is one of the deepest results concerning morphisms of free monoids. Another deep result is the decidability of the sequence equivalence problem for DT0L systems (see Culik II and Karhumäki [3] and Honkala [6]). In this note we discuss three generalizations of these problems. The first one is the DT0L ω -sequence equivalence problem. The decidability status of this problem remains open. We also introduce two closely related variants. The first is shown to be undecidable while the second gives a nontrivial decidable generalization of the DT0L sequence equivalence problem.

It is assumed that the reader is familiar with the basics concerning L systems (see Rozenberg and Salomaa [8,9]). In the proofs we will use results concerning rational series (see Berstel and Reutenauer [1] and Salomaa and Soittola [10]). For infinite words generated by D0L and DT0L systems see also Culik II and Salomaa [4]. In particular, [4] gives a condition guaranteeing that a given DT0L system defines a unique infinite word.

2 The DT0L ω -sequence equivalence problem

Let X be a finite alphabet and X^* be the *free monoid generated by X* . The *length* of a word $w \in X^*$ is denoted by $|w|$. By definition the length of the *empty*

word ε is zero. If $u, v \in X^*$ we denote $u \leq v$ if u is a prefix of v . Two words $u, v \in X^*$ are called *comparable* if $u \leq v$ or $v \leq u$. If $u = x_1x_2 \dots x_t$ where $x_i \in X$ for $1 \leq i \leq t$, we denote $u^T = x_t \dots x_2x_1$.

Suppose $(v_n)_{n \geq 0}$ is a sequence of words such that $v_n \leq v_{n+1}$ for all $n \geq 0$. Then we say that

$$\lim v_n$$

exists. If there is an integer n_0 such that $v_n = v_{n_0}$ for all $n \geq n_0$ then $\lim v_n = v_{n_0}$. Otherwise $\lim v_n$ equals the unique infinite word having v_n as a prefix for all $n \geq 0$.

A *DTOL system* is an $(n+2)$ -tuple $G = (X, g_1, \dots, g_n, w)$ where X is a finite alphabet, $w \in X^*$ is a word and $g_i : X^* \rightarrow X^*$ is a morphism for $1 \leq i \leq n$. If $n = 1$, G is called a *DOL system*. For $n \geq 1$, let $X_n = \{1, 2, \dots, n\}$ be an alphabet with n letters. If $G = (X, g_1, \dots, g_n, w)$ is a DTOL system and $u = i_1i_2 \dots i_t \in X_n^*$ where $i_j \in X_n$, $1 \leq j \leq t$, denote

$$s_G(u) = g_{i_1}g_{i_2} \dots g_{i_t}(w).$$

By definition, $s_G(\varepsilon) = w$. Two DTOL systems $G_1 = (X, g_1, \dots, g_n, w_1)$ and $G_2 = (X, h_1, \dots, h_n, w_2)$ are called *sequence equivalent* if $s_{G_1}(u) = s_{G_2}(u)$ for all words $u \in X_n^*$.

We say that a DTOL system $G = (X, g_1, \dots, g_n, w)$ is *prolongable* if

$$w \leq g_i(w)$$

for all $1 \leq i \leq n$. Let $G = (X, g_1, \dots, g_n, w)$ be a prolongable DTOL system and $(i_k)_{k \geq 1}$ be a sequence such that $i_k \in \{1, \dots, n\}$ for all $k \geq 1$. Then the word

$$g_{i_1}g_{i_2} \dots g_{i_k}(w)$$

is clearly a prefix of

$$g_{i_1}g_{i_2} \dots g_{i_{k+1}}(w)$$

for any $k \geq 1$. Hence

$$\lim g_{i_1}g_{i_2} \dots g_{i_k}(w)$$

exists. We denote

$$\omega_G(\alpha) = \lim g_{i_1}g_{i_2} \dots g_{i_k}(w)$$

where $\alpha = (i_k)_{k \geq 1}$. Depending on α , $\omega_G(\alpha)$ is a finite or an infinite word. Two prolongable DTOL systems $G = (X, g_1, \dots, g_n, w_1)$ and $H = (X, h_1, \dots, h_n, w_2)$ are called *ω -sequence equivalent* if

$$\omega_G(\alpha) = \omega_H(\alpha)$$

for all sequences $\alpha = (i_k)_{k \geq 1}$ such that $i_k \in \{1, \dots, n\}$ for $k \geq 1$.

Each pair of sequence equivalent DTOL systems gives in a canonical way a pair of ω -sequence equivalent DTOL systems.

Proposition 1. Let $G = (X, g_1, \dots, g_n, w)$ and $H = (X, h_1, \dots, h_n, w)$ be DTOL systems. Choose two new letters $a, b \notin X$ and extend g_i and h_i , $1 \leq i \leq n$, by

$$g_i(a) = h_i(a) = awb, \quad g_i(b) = h_i(b) = b, \quad 1 \leq i \leq n.$$

Then the DTOL systems $\overline{G} = (X \cup \{a, b\}, g_1, \dots, g_n, a)$ and $\overline{H} = (X \cup \{a, b\}, h_1, \dots, h_n, a)$ are ω -sequence equivalent if and only if G and H are sequence equivalent.

Proof. The systems \overline{G} and \overline{H} are prolongable. Let $\alpha = (i_k)_{k \geq 1}$ be a sequence such that $i_k \in \{1, \dots, n\}$ for all $k \geq 1$. Then we have

$$g_{i_1} g_{i_2} \dots g_{i_k}(a) = awb g_{i_1}(w) b g_{i_1} g_{i_2}(w) b \dots b g_{i_1} g_{i_2} \dots g_{i_{k-1}}(w) b$$

and

$$h_{i_1} h_{i_2} \dots h_{i_k}(a) = awb h_{i_1}(w) b h_{i_1} h_{i_2}(w) b \dots b h_{i_1} h_{i_2} \dots h_{i_{k-1}}(w) b$$

for any $k \geq 1$. Hence

$$\lim g_{i_1} g_{i_2} \dots g_{i_k}(a) = awb g_{i_1}(w) b g_{i_1} g_{i_2}(w) b \dots b g_{i_1} g_{i_2} \dots g_{i_k}(w) b \dots$$

and, similarly,

$$\lim h_{i_1} h_{i_2} \dots h_{i_k}(a) = awb h_{i_1}(w) b h_{i_1} h_{i_2}(w) b \dots b h_{i_1} h_{i_2} \dots h_{i_k}(w) b \dots$$

This implies the claim. \square

It is now natural to pose

The DTOL ω -sequence equivalence problem. Is it decidable whether or not two given prolongable DTOL systems $G = (X, g_1, \dots, g_n, w_1)$ and $H = (X, h_1, \dots, h_n, w_2)$ are ω -sequence equivalent?

Culik II and Harju [2] have shown that the ω -sequence equivalence problem is decidable for DOL systems. For DTOL systems with more than one tables the problem remains open. By Proposition 1, the DTOL ω -sequence equivalence problem also generalizes the DTOL sequence equivalence problem.

The DTOL ω -sequence equivalence problem might turn out to be undecidable. This would be interesting because the problem is a common generalization of two very important decidable problems. If the DTOL ω -sequence equivalence problem is decidable there appears to be two possibilities. Firstly, it might be that the DTOL ω -sequence equivalence problem can be reduced to DOL ω -sequence equivalence and DTOL sequence equivalence problems. This is certainly the case for some instances of the problem. On the other hand, if no such reduction exists in the general case the problem is very difficult. This follows because the known solutions of the DOL ω -sequence equivalence problem and DTOL sequence equivalence problem are entirely different and a decision method for the DTOL ω -sequence equivalence problem would solve both of them.

3 The weak DTOL ω -sequence equivalence problem

In this section we discuss an undecidable problem closely related to the DTOL ω -sequence equivalence problem.

Suppose $G = (X, g_1, \dots, g_n, w_1)$ and $H = (X, h_1, \dots, h_n, w_2)$ are DTOL systems which are not necessarily prolongable. We say that G and H are *weakly ω -sequence equivalent* if for any $k \geq 0$ and $1 \leq i_1, \dots, i_k \leq n$, the words

$$g_{i_1}g_{i_2} \dots g_{i_k}(w_1)$$

and

$$h_{i_1}h_{i_2} \dots h_{i_k}(w_2)$$

are comparable.

If the above systems G and H are prolongable and the words $\omega_G(\alpha)$ and $\omega_H(\alpha)$ are infinite for all sequences α , then G and H are ω -sequence equivalent if and only if they are weakly ω -sequence equivalent. For prolongable systems in general, ω -sequence equivalence implies weak ω -sequence equivalence but not vice versa. We will prove that weak ω -sequence equivalence is undecidable for DTOL systems. The DTOL systems considered in the proof are not prolongable. Therefore the proof does not apply to the DTOL ω -sequence equivalence problem.

Theorem 2. *It is undecidable whether or not two DTOL systems G and H with three tables are weakly ω -sequence equivalent.*

Proof. We will show that if we could decide weak ω -sequence equivalence for DTOL systems with three tables we could decide whether or not a \mathbf{Z} -rational series $r \in \mathbf{Z}^{\text{rat}} \ll X_2^* \gg$ has a positive coefficient. Since such an algorithm does not exist for \mathbf{Z} -rational series (see Salomaa and Soittola [10]), there is no algorithm for the weak DTOL ω -sequence equivalence problem.

Suppose $r \in \mathbf{Z}^{\text{rat}} \ll X_2^* \gg$. Recall that every \mathbf{Z} -rational series can be expressed as the difference of two DTOL series (see Salomaa and Soittola [10]). Hence there exist a DTOL system $F = (A, f_1, f_2, w)$ and two morphisms $\alpha_1 : A^* \rightarrow b^*$, $\alpha_2 : A^* \rightarrow b^*$ where $b \notin A$ is a new letter such that

$$(r, i_1 \dots i_t) = |\alpha_1 f_{i_t} \dots f_{i_1}(w)| - |\alpha_2 f_{i_t} \dots f_{i_1}(w)|$$

for any $t \geq 0$, $1 \leq i_1, \dots, i_t \leq 2$. Choose a new letter $\$ \notin A \cup b$ and extend f_i and α_i by

$$f_i(b) = \alpha_i(b) = b, \quad f_i(\$) = \alpha_i(\$) = \$$$

for $i = 1, 2$. Define two new DTOL systems F_1 and F_2 by

$$F_1 = (A \cup b \cup \$, f_1, f_2, \alpha_1, w)$$

and

$$F_2 = (A \cup b \cup \$, f_1, f_2, \alpha_2, w\$).$$

Now let $u \in X_3^*$ be a word and denote $v_1 = s_{F_1}(u)$ and $v_2 = s_{F_2}(u)$. Then there exist integers $q \geq 0$, $1 \leq j_1, \dots, j_q \leq 2$ such that either

$$v_1 = f_{j_q} \dots f_{j_1}(w) \text{ and } v_2 = f_{j_q} \dots f_{j_1}(w)\$$$

or

$$v_1 = \alpha_1 f_{j_q} \dots f_{j_1}(w) = b^{k_1} \text{ and } v_2 = \alpha_2 f_{j_q} \dots f_{j_1}(w)\$ = b^{k_2}\$$$

where

$$k_i = |\alpha_i f_{j_q} \dots f_{j_1}(w)| \text{ for } i = 1, 2.$$

Therefore F_1 and F_2 are weakly ω -sequence equivalent if and only if no coefficient of r is positive. This concludes the proof. \square

Culik II and Salomaa [4] have shown that there is no algorithm for deciding whether or not in a given DTOL language some word is a prefix of another one.

4 The near equivalence of DTOL systems

In this section we discuss a decidable variant of the DTOL sequence equivalence problem which is closely connected to the DTOL ω -sequence equivalence problem. Suppose $G = (X, g_1, \dots, g_n, w_1)$ and $H = (X, h_1, \dots, h_n, w_2)$ are arbitrary DTOL systems. We say that G and H are *nearly equivalent* if there exists a positive integer K such that the following two conditions hold for any $u \in X_n^*$:

- (i) The words $s_G(u)$ and $s_H(u)$ are comparable.
- (ii) $||s_G(u)| - |s_H(u)|| \leq K$.

The near equivalence of DOL systems is discussed in Honkala [7] where it is seen to be a very natural notion in the study of infinite words.

Theorem 3. *The near equivalence is decidable for DTOL systems.*

Proof. Suppose $G = (X, g_1, \dots, g_n, w_1)$ and $H = (X, h_1, \dots, h_n, w_2)$ are DTOL systems. Define the power series $r \in \mathbf{Z} \ll X_n^* \gg$ by

$$(r, u) = |s_G(u)| - |s_H(u)|, \quad u \in X_n^*.$$

Because G and H are DTOL systems, r is \mathbf{Z} -rational. Hence it is decidable whether or not r has infinitely many different coefficients (see Berstel and Reutenauer [1]). If it does, G and H are not nearly equivalent. We proceed with the assumption that r takes only finitely many different values. Then there effectively exist a positive integer t , integers a_1, \dots, a_t and pairwise disjoint regular languages $L_1, \dots, L_t \subseteq X_n^*$ such that $X_n^* = L_1 \cup \dots \cup L_t$ and

$$r = \sum_{i=1}^t a_i \text{char}(L_i)$$

(see Salomaa and Soittola [10]).

Now G and H are nearly equivalent if and only if for any $u \in X_n^*$ one of $s_G(u)$ and $s_H(u)$ is a prefix of the other. To decide whether this is true, define first the words $e_G(u), e_H(u) \in X^*$ for $u \in X_n^*$ as follows. If $u \in L_i, 1 \leq i \leq t$, and $a_i \geq 0$, the word $e_G(u)$ is empty and $e_H(u)$ is the suffix of $s_G(u)$ of length a_i . If $u \in L_i, 1 \leq i \leq t$, and $a_i < 0$, the word $e_G(u)$ is the suffix of $s_H(u)$ of length $-a_i$ and the word $e_H(u)$ is empty. It is easy to see that G and H are nearly equivalent if and only if

$$s_G(u)e_G(u) = s_H(u)e_H(u) \tag{1}$$

for all $u \in X_n^*$.

Now, for any word $v \in X^*$ the set

$$\{u \in X_n^* \mid s_G(u) \in X^*v\}$$

is regular (see Ginsburg and Rozenberg [5]). Hence, for any $i, 1 \leq i \leq t$, there exist an integer $k_i \geq 1$ and pairwise disjoint regular languages $L_{ij}, 1 \leq j \leq k_i$, such that

$$L_i = \bigcup_{j=1}^{k_i} L_{ij}$$

and $e_G(u)$ (respectively $e_H(u)$) is the same word for any $u \in L_{ij}$. Consequently there is a finite deterministic automaton with the initial state q_0 and input alphabet X_n such that if

$$q_0u^T = q_0v^T$$

then

$$e_G(u) = e_G(v), \quad e_H(u) = e_H(v)$$

for $u, v \in X_n^*$. (Here q_0u^T is the state which the automaton reaches by reading u^T .) It is easy to see that there is a DTOL system F with n tables such that

$$s_F(u) = q_0u^T$$

for $u \in X_n^*$. Putting all this together we see that $(s_G(u)e_G(u))_{u \in X_n^*}$ and $(s_H(u)e_H(u))_{u \in X_n^*}$ are HDTOL sequences. Hence we can decide whether or not (1) holds for all $u \in X_n^*$. \square

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