## On algebraicness of D0L power series

Juha Honkala
Department of Mathematics
University of Turku
FIN-20014 Turku, Finland
juha.honkala@utu.fi
and
Turku Centre for Computer Science (TUCS)
FIN-20520 Turku, Finland

**Abstract:** We show that it is decidable whether or not a given D0L power series over a semiring A is A-algebraic in case  $A = \mathbf{Q}_+$  or  $A = \mathbf{N}$ . The proof relies heavily on the use of elementary morphisms in a power series framework and gives also a new method to decide whether or not a given D0L language is context-free.

Category: F4.3

### 1 Introduction

D0L power series were defined in [Honkala 97] and studied in detail in [Honkala 98,00]. The study of these series gives an interesting counterpart to the customary theory of D0L systems.

In [Honkala 97] it is shown to be decidable whether or not a given D0L power series over  $\mathbf{Q}$  is  $\mathbf{Q}$ -rational. In this paper we study the question whether or not a given D0L power series over a semiring A is A-algebraic. A decision method is provided in case A equals  $\mathbf{Q}_+$  or  $\mathbf{N}$ . We also discuss the same question in case  $A = \mathbf{Q}$ . Our decision method relies heavily on the use of elementary morphisms in a power series framework and applies various techniques dealing with D0L sequences and algebraic series. By taking  $A = \mathbf{B}$  we also obtain a new decision method for the context-freeness of D0L languages (see [Salomaa 75]).

For further background and motivation we refer to [Honkala 95,97,98,00] and the references given therein. It is assumed that the reader is familiar with the basics of formal power series and L systems (see [Berstel and Reutenauer 88], [Kuich and Salomaa 86], [Rozenberg and Salomaa 80,97], [Salomaa and Soittola 78]). Notions and notations that are not defined are taken from these references.

### 2 Definitions

Suppose A is a commutative semiring and X is a finite alphabet. The set of formal power series with noncommuting variables in X and coefficients in A is denoted by  $A \ll X^* \gg$ . The subset of  $A \ll X^* \gg$  consisting of all series with a finite support is denoted by  $A < X^* >$ . Series of  $A < X^* >$  are referred to as polynomials.

Assume that X and Y are finite alphabets. A semialgebra morphism  $h:A < X^* > \longrightarrow A < Y^* >$  is called a *monomial morphism* if for each  $x \in X$  there exist

a nonzero  $a \in A$  and  $w \in Y^*$  such that h(x) = aw. If  $h: A < X^* > \longrightarrow A < Y^* >$  is a monomial morphism, the underlying monoid morphism  $\overline{h}: X^* \longrightarrow Y^*$  is defined by  $\overline{h}(x) = \operatorname{supp}(h(x))$  for  $x \in X$ . A series  $r \in A \ll X^* \gg$  is called a D0L power series over A if there exist a nonzero  $a \in A$ , a word  $w \in X^*$  and a monomial morphism  $h: A < X^* > \longrightarrow A < X^* > \operatorname{such}$  that

$$r = \sum_{n=0}^{\infty} ah^n(w) \tag{1}$$

and, furthermore,

 $\operatorname{supp}(ah^{i}(w)) \neq \operatorname{supp}(ah^{j}(w))$  whenever  $0 \leq i < j$ .

Consider the series r given in (1) and denote

$$ah^n(w) = c_n w_n$$

where  $c_n \in A$  and  $w_n \in X^*$  for  $n \geq 0$ . Then we have

$$r = \sum_{n=0}^{\infty} c_n w_n. \tag{2}$$

In what follows the righthand side of (2) is called the *normal form* of r. A sequence  $(c_n)_{n\geq 0}$  of elements of A is called a D0L multiplicity sequence over A if there exists a D0L power series r over A such that (2) is the normal form of r. If  $r = \sum_{n=0}^{\infty} ah^n(w)$  is a D0L power series and  $p \geq 1$  and  $m \geq 0$  are integers, then the series r(p, m) is defined by

$$r(p,m) = \sum_{n=0}^{\infty} ah^{pn}(h^m(w)).$$

Assume that X and Y are finite alphabets. By definition, a monomial morphism  $h:A< X^*> \longrightarrow A< Y^*>$  is simplifiable if there exist a set  $X_1$  and monomial morphisms  $h_1:A< X^*> \longrightarrow A< X_1^*>$  and  $h_2:A< X_1^*> \longrightarrow A< Y^*>$  such that  $h=h_2h_1$  and  $card(X_1)< card(X)$ . If h is not simplifiable, it is called elementary. A D0L power series  $r=\sum_{n=0}^{\infty}ah^n(w)$  is called elementary if the monomial morphism h is elementary.

# 3 Decidability of algebraicness in case $A = Q_+$ , A = N or A = B

In this section we show through a sequence of lemmas that it is decidable whether or not a given D0L power series over the semiring A is A-algebraic in case  $A = \mathbf{Q}_+$ ,  $A = \mathbf{N}$  or  $A = \mathbf{B}$ . (Here  $\mathbf{Q}_+$ ,  $\mathbf{N}$  and  $\mathbf{B}$  stand for the nonnegative rationals, nonnegative integers and Boolean semiring, respectively.) A decision method is first given for elementary D0L power series.

If X is a finite alphabet and  $g: X^* \longrightarrow X^*$  is a morphism, a letter  $x \in X$  is called *growing* if the set  $\{g^n(x) \mid n \geq 0\}$  is infinite.

**Lemma 1.** Suppose A is a commutative semiring and  $r = \sum_{n=0}^{\infty} ah^n(w) \in A \ll X^* \gg is$  a D0L power series over A such that the underlying monoid morphism  $g: X^* \longrightarrow X^*$  of h is injective. Furthermore, assume that there exist positive integers C and D such that

$$|g^n(w)| \le Cn + D$$

for all  $n \geq 0$ . Then there effectively exist integers  $p \geq 1$ ,  $q \geq 0$ ,  $k \geq 0$ , words  $u_{\alpha}, v_{\beta}, w_{\beta}$  and growing letters  $y_{\beta}, 0 \leq \alpha \leq k, 1 \leq \beta \leq k$ , and nonzero  $a_0, a_1, a_2 \in A$  such that

$$h^{np+q}(w) = a_0 a_1^n a_2^{\frac{(n-1)n}{2}} u_0(v_1^n y_1 w_1^n) u_1(v_2^n y_2 w_2^n) u_2 \dots u_{k-1}(v_k^n y_k w_k^n) u_k$$
 (3)

for all  $n \geq 0$ . Furthermore, none of the words  $u_{\alpha}, v_{\beta}, w_{\beta}, 0 \leq \alpha \leq k, 1 \leq \beta \leq k$ , contains a growing letter.

**Proof.** Denote

$$X_1 = \{ x \in X \mid |g^n(x)| = 1 \text{ for all } n \ge 1 \}$$

and

$$X_2 = \{x \in X \mid x \text{ is a growing letter}\}.$$

If  $x \in X_1$ , clearly  $g(x) \in X_1$ . Hence g permutes the letters of  $X_1$ . If  $x \in X$  is not growing, there exists a positive integer k such that  $g^k(x) \in X_1^*$ . Because g permutes the letters of  $X_1$  there exists  $u \in X_1^*$  such that  $g^k(x) = g^k(u)$ . Because  $g^k$  is injective, we have x = u implying that  $x \in X_1$ . Consequently,  $X = X_1 \cup X_2$ .

Because  $|g^n(w)|$  has a linear upper bound there exists a constant K such that no  $g^n(w)$  contains more than K growing letters. Therefore there exist integers  $p \ge 1$  and  $q \ge 0$  such that

$$pr_{X_2}(g^q(w)) = pr_{X_2}(g^{p+q}(w)).$$

(Here  $pr_{X_2}$  is the projection from  $X^*$  onto  $X_2^*$ .) By changing p, if necessary, we may assume that  $g^p(x) = x$  for all  $x \in X_1$ . Now, denote

$$h^{q}(w) = a_{0}u_{0}y_{1}u_{1}y_{2}u_{2}\dots u_{k-1}y_{k}u_{k}$$

$$\tag{4}$$

where  $k \geq 0$ ,  $a_0 \in A$ ,  $u_\alpha \in X_1^*$  and  $y_\beta \in X_2$  for  $0 \leq \alpha \leq k$ ,  $1 \leq \beta \leq k$ . Because each  $g^p(y_\beta)$  contains only one growing letter, there exist  $v_\beta, w_\beta \in X_1^*$  such that

$$g^p(y_\beta) = v_\beta y_\beta w_\beta$$

for  $1 \leq \beta \leq k$ . Finally, there exist nonzero  $a_1, a_2 \in A$  such that

$$h^{p}(u_{0}y_{1}u_{1}y_{2}u_{2}\dots u_{k-1}y_{k}u_{k}) = a_{1}u_{0}(v_{1}y_{1}w_{1})u_{1}(v_{2}y_{2}w_{2})u_{2}\dots u_{k-1}(v_{k}y_{k}w_{k})u_{k}$$

and

$$h^p(v_1w_1v_2w_2...v_kw_k) = a_2v_1w_1v_2w_2...v_kw_k.$$

Now (3) follows inductively. First, if n=0, (3) follows by (4). Then, if (3) holds, we have

$$h^{(n+1)p+q}(w) =$$

$$a_0a_1^na_2^{\frac{(n-1)n}{2}}h^p(u_0(v_1^ny_1w_1^n)u_1(v_2^ny_2w_2^n)u_2\dots u_{k-1}(v_k^ny_kw_k^n)u_k)=\\a_0a_1^{n+1}a_2^{\frac{n(n+1)}{2}}u_0(v_1^{n+1}y_1w_1^{n+1})u_1(v_2^{n+1}y_2w_2^{n+1})u_2\dots u_{k-1}(v_k^{n+1}y_kw_k^{n+1})u_k.$$
 Hence (3) holds for all  $n>0$ .  $\square$ 

**Lemma 2.** Let  $h: A < X^* > \longrightarrow A < X^* >$  be a monomial morphism such that there exist integers  $p \ge 1$ ,  $q \ge 0$ ,  $k \ge 0$ , words  $u_{\alpha}, v_{\beta}, w_{\beta}$  and growing letters  $y_{\beta}$ ,  $0 \le \alpha \le k$ ,  $1 \le \beta \le k$ , and nonzero  $a_0, a_1, a_2 \in A$  such that (3) holds for all  $n \ge 0$  and none of the words  $u_{\alpha}, v_{\beta}, w_{\beta}, 0 \le \alpha \le k$ ,  $1 \le \beta \le k$ , contains a growing letter. Then there exist words  $\overline{u}_{\alpha}, \overline{v}_{\beta}, \overline{w}_{\beta}, \overline{y}_{\beta}, 0 \le \alpha \le k$ ,  $1 \le \beta \le k$ , such that

$$h^{np+q}(w) = a_0 a_1^n a_2^{\frac{(n-1)n}{2}} \overline{u}_0(\overline{v}_1^n \overline{y}_1 \overline{w}_1^n) \overline{u}_1(\overline{v}_2^n \overline{y}_2 \overline{w}_2^n) \overline{u}_2 \dots \overline{u}_{k-1}(\overline{v}_k^n \overline{y}_k \overline{w}_k^n) \overline{u}_k$$

for all  $n \geq 0$ . Furthermore, the following conditions hold: None of the words  $\overline{u}_{\alpha}, \overline{v}_{\beta}, \overline{w}_{\beta}$  contains a growing letter. Each  $\overline{y}_{\beta}$  contains exactly one growing letter. If  $\overline{u}_{\alpha} = \lambda$  then either  $\{\overline{w}_{\alpha}, \overline{v}_{\alpha+1}\}$  is a code or contains the empty word,  $1 \leq \alpha \leq k-1$ . If  $\overline{u}_{\alpha} \neq \lambda$ , then neither of the words  $\overline{u}_{\alpha}$  and  $\overline{w}_{\alpha}$  is a prefix of the other,  $1 \leq \alpha \leq k-1$ .

**Proof.** For each  $\alpha$ ,  $1 \leq \alpha \leq k-1$ , we modify the words  $u_{\alpha}, v_{\beta}, w_{\beta}$  as follows. If  $u_{\alpha} = \lambda$ ,  $w_{\alpha} \neq \lambda$ ,  $v_{\alpha+1} \neq \lambda$  and  $\{w_{\alpha}, v_{\alpha+1}\}$  is not a code, replace  $w_{\alpha}$  by  $w_{\alpha}v_{\alpha+1}$ , and  $v_{\alpha+1}$  by  $\lambda$ , respectively. If  $u_{\alpha} \neq \lambda$  and  $u_{\alpha}$  is a prefix of  $w_{\alpha}$ , replace  $y_{\alpha}$  by  $y_{\alpha}u_{\alpha}$ ,  $w_{\alpha}$  by  $u_{\alpha}^{-1}w_{\alpha}u_{\alpha}$ , and  $u_{\alpha}$  by  $\lambda$ , respectively. If  $u_{\alpha} \neq \lambda$  and  $w_{\alpha}$  is a prefix of  $u_{\alpha}$ , replace  $y_{\alpha}$  by  $y_{\alpha}w_{\alpha}$ , and  $u_{\alpha}$  by  $w_{\alpha}^{-1}u_{\alpha}$ , respectively, and continue as before. When all these replacements are completed we have obtained the words  $\overline{u}_{\alpha}, \overline{v}_{\beta}, \overline{w}_{\beta}, \overline{y}_{\beta}$ ,  $0 \leq \alpha \leq k$ ,  $1 \leq \beta \leq k$ , satisfying the conditions of the claim.  $\square$ 

Lemma 3. Denote

$$r = \sum_{n=1}^{\infty} a_0 a_1^n a_2^{\frac{(n-1)n}{2}} \overline{u}_0(\overline{v}_1^n \overline{y}_1 \overline{w}_1^n) \overline{u}_1(\overline{v}_2^n \overline{y}_2 \overline{w}_2^n) \overline{u}_2 \dots \overline{u}_{k-1}(\overline{v}_k^n \overline{y}_k \overline{w}_k^n) \overline{u}_k,$$

where  $a_0, a_1, a_2 \in A$  are nonzero and the words  $\overline{u}_{\alpha}, \overline{v}_{\beta}, \overline{w}_{\beta}, \overline{y}_{\beta}, 0 \leq \alpha \leq k$ ,  $1 \leq \beta \leq k$ , satisfy the conditions of Lemma 2. Let t be the number of the words  $\overline{v}_{\beta}, \overline{w}_{\beta}, 1 \leq \beta \leq k$ , when empty words are deleted and each nonempty word is counted as many times as it occurs. Let  $z_1, \ldots, z_t$  be new distinct letters and denote

$$r_1 = \sum_{n=1}^{\infty} a_0 a_1^n a_2^{\frac{(n-1)n}{2}} z_1^n z_2^n \dots z_t^n.$$

Then r is A-algebraic if and only if  $r_1$  is A-algebraic.

**Proof.** First, suppose that r is A-algebraic. By the conditions stated in Lemma 2, each word in the language

$$\overline{u}_0(\overline{v}_1^*\overline{y}_1\overline{w}_1^*)\overline{u}_1(\overline{v}_2^*\overline{y}_2\overline{w}_2^*)\overline{u}_2\ldots\overline{u}_{k-1}(\overline{v}_k^*\overline{y}_k\overline{w}_k^*)\overline{u}_k$$

can be written uniquely in the form

$$\overline{u}_0(\overline{v}_1^{j_1}\overline{y}_1\overline{w}_1^{j_2})\overline{u}_1(\overline{v}_2^{j_3}\overline{y}_2\overline{w}_2^{j_4})\overline{u}_2\dots\overline{u}_{k-1}(\overline{v}_k^{j_{2k-1}}\overline{y}_k\overline{w}_k^{j_{2k}})\overline{u}_k$$

where  $j_{\gamma} \in \mathbf{N}$  for  $1 \leq \gamma \leq 2k$ , provided that possibly different powers of empty words are not regarded as different. Because A-algebraic series are closed under inverse morphisms and Hadamard products with A-rational series, we may assume that the nonempty  $\overline{u}_{\alpha}, \overline{v}_{\beta}, \overline{w}_{\beta}, \overline{y}_{\beta}$  are in fact distinct letters,  $0 \leq \alpha \leq k$ ,

 $1 \leq \beta \leq k$ . Finally, we erase the letters corresponding to nonempty words  $\overline{u}_{\alpha}, \overline{y}_{\beta}, 0 \leq \alpha \leq k, 1 \leq \beta \leq k$ . The resulting series is still A-algebraic because at most three consecutive letters are erased (see [Kuich and Salomaa 86]).

Suppose then that  $r_1$  is A-algebraic. By applying the closure properties of A-algebraic series it follows easily that r is A-algebraic.  $\square$ 

The following two lemmas recall some basic properties of algebraic series.

**Lemma 4.** Suppose  $A = \mathbf{Q}$  or  $A = \mathbf{B}$ . Let z be a letter and

$$r = \sum_{i=0}^{\infty} a_i z^{n_i}$$

where  $a_i \neq 0$  for  $i \geq 0$ , be a power series in  $A \ll z^* \gg$ . If

$$\lim_{i \to \infty} \frac{n_i}{i} = \infty$$

then r is not A-algebraic.

**Proof.** For both cases see [Kuich and Salomaa 86].  $\square$ 

If  $p \geq 2$  is a prime, denote by  $\nu_p$  the p-adic valuation over **Q**.

**Lemma 5.** Suppose  $r \in \mathbb{Q} \ll X^* \gg is \mathbb{Q}$ -algebraic and  $p \geq 2$  is a prime. Then there exists a positive integer C such that

$$|\nu_p((r,w))| \leq C|w|$$

for any nonempty word  $w \in supp(r)$ .

**Proof.** By Theorem IV6.6 in [Salomaa and Soittola 78] there exists a nonzero integer d such that

$$\sum (r, w) d^{|w|} w \in \mathbf{Z}^{\text{alg}} \ll X^* \gg .$$

Furthermore, there exists a positive integer M such that

$$|(r, w)d^{|w|}| < M^{|w|}$$

for any nonempty  $w \in X^*$ . Hence there exists a positive integer D such that

$$0 \le \nu_p((r,w)d^{|w|}) \le D|w|$$

for any nonempty  $w \in \text{supp}(r)$ . Consequently

$$-\nu_n(d)|w| \le \nu_n((r,w)) \le D|w|$$

for any nonempty  $w \in \text{supp}(r)$ . This implies the claim.  $\square$ 

The following lemma gives our main result in the case of elementary D0L power series.

**Lemma 6.** Suppose the basic semiring A equals  $\mathbf{Q}_+$ ,  $\mathbf{N}$  or  $\mathbf{B}$ . Then it is decidable whether or not a given elementary D0L power series  $r = \sum_{n=0}^{\infty} ah^n(w)$  over A is A-algebraic.

**Proof.** Let  $p_1$  be the smallest period of the ultimately periodic sequence  $(Alph(h^n(w)))_{n>0}$  and let  $q_1$  be a nonnegative integer such that

$$Alph(h^n(w)) = Alph(h^{n+p_1}(w))$$

for all  $n \geq q_1$ . Because A-algebraic series are closed with respect to Hadamard products with A-rational series, if r is A-algebraic, so is  $r(p_1, q_1)$ . On the other hand, if  $r(p_1, q_1)$  is A-algebraic, so is r, because

$$r = \sum_{n=0}^{q_1-1} ah^n(w) + \sum_{i=q_1}^{q_1+p_1-1} r(p_1, i) = \sum_{n=0}^{q_1-1} ah^n(w) + \sum_{i=q_1}^{q_1+p_1-1} h^{i-q_1}(r(p_1, q_1))$$

and h is nonerasing. So, it remains to decide whether or not  $r(p_1, q_1)$  is A-algebraic.

Because h is nonerasing, the underlying D0L length sequence of  $r(p_1,q_1)$  is strictly increasing. Next, decide whether or not the underlying D0L length sequence of  $r(p_1,q_1)$  is linear. If not, Lemma 4 implies that r is not A-algebraic. We continue with the assumption that this sequence is linear. Then, by Lemma 1, there effectively exist integers  $p \geq 1, \ q \geq 0, \ k \geq 0$ , words  $u_{\alpha}, v_{\beta}, w_{\beta}$  and growing letters  $y_{\beta}, \ 0 \leq \alpha \leq k, \ 1 \leq \beta \leq k$ , and nonzero  $a_0, a_1, a_2 \in A$  such that

$$a(h^{p_1})^{np+q}(h^{q_1}(w)) =$$

$$a_0 a_1^n a_2^{\frac{(n-1)n}{2}} u_0(v_1^n y_1 w_1^n) u_1(v_2^n y_2 w_2^n) u_2 \dots u_{k-1}(v_k^n y_k w_k^n) u_k$$

for all  $n \geq 0$ . Then we have

$$r(p_1,q_1)(p,q) = \sum_{n=0}^{\infty} a_0 a_1^n a_2^{\frac{(n-1)n}{2}} u_0(v_1^n y_1 w_1^n) u_1(v_2^n y_2 w_2^n) u_2 \dots u_{k-1}(v_k^n y_k w_k^n) u_k.$$

Now, let L be the language of all words over the alphabet  $Alph(r(p_1, q_1))$  having length  $|u_0y_1u_1y_2u_2...y_ku_k| + n|v_1w_1v_2w_2...v_kw_k|$  for some  $n \geq 0$ . Because the underlying D0L length sequence of  $r(p_1, q_1)$  is strictly increasing,

$$r(p_1, q_1) \odot \text{char}(L) = r(p_1, q_1)(p, q).$$

(Here  $s_1 \odot s_2$  stands for the Hadamard product of the series  $s_1$  and  $s_2$ .) Hence, if  $r(p_1, q_1)$  is A-algebraic, so is  $r(p_1, q_1)(p, q)$ . The converse is seen to be true as above

Now, to decide whether or not  $r(p_1, q_1)(p, q)$  is A-algebraic it suffices, by Lemmas 2 and 3 to decide whether or not the series

$$r_1 = \sum_{n=1}^{\infty} a_0 a_1^n a_2^{\frac{(n-1)n}{2}} z_1^n z_2^n \dots z_t^n$$

is A-algebraic. Here t is an effectively obtainable integer and the letters  $z_{\gamma}$  are distinct. We claim that  $r_1$  is A-algebraic if and only if  $a_2 = 1$  and  $t \leq 2$ . First, if

 $r_1$  is A-algebraic, Lemma 5 implies that  $a_2 = 1$ . Furthermore, if  $r_1$  is A-algebraic, supp(r) is context-free. Consequently,  $t \leq 2$ . The converse implication follows immediately.  $\square$ 

In order to generalize Lemma 6 for arbitrary D0L power series a lemma is needed.

**Lemma 7.** Let  $h: A < X^* > \longrightarrow A < Y^* >$  be a monomial morphism. Then h is elementary if and only if the underlying monoid morphism  $g: X^* \longrightarrow Y^*$  of h is elementary. If h is elementary, g is injective. If h is simplifiable, there exist a set  $X_1$  and monomial morphisms  $h_1: A < X^* > \longrightarrow A < X_1^* >$  and  $h_2: A < X_1^* > \longrightarrow A < Y^* >$  such that  $h = h_2h_1$ ,  $card(X_1) < card(X)$  and  $h_2(x_1) \in Y^*$  for all  $x_1 \in X_1$ . Furthermore, the underlying monoid morphism  $g_2: X_1^* \longrightarrow Y^*$  of  $h_2$  is injective.

**Proof.** For the first claim see [Honkala 98]. The second claim follows by the first claim. Suppose then that h is simplifiable. If  $h(x) \in A$  for all  $x \in X$  the claim holds trivially. Otherwise, there exist a nonempty set  $X_1$  and monoid morphisms  $g_1: X^* \longrightarrow X_1^*, \ g_2: X_1^* \longrightarrow Y^*$  such that  $g = g_2g_1$  and  $\operatorname{card}(X_1) < \operatorname{card}(X)$ . By choosing as small  $X_1$  as possible we may assume that  $g_2$  is elementary. Now, denote  $h(x) = a_xg(x)$  where  $x \in X$  and  $a_x \in A$ , and define the monomial morphisms  $h_1: A < X^* > \longrightarrow A < X_1^* >$  and  $h_2: A < X_1^* > \longrightarrow A < Y^* >$  by

$$h_1(x) = a_x g_1(x), \quad x \in X,$$

$$h_2(x) = g_2(x), \quad x \in X_1.$$

Then, if  $x \in X$  we have

$$h_2h_1(x) = h_2(a_xg_1(x)) = a_xg_2g_1(x) = a_xg(x) = h(x).$$

Furthermore, the underlying monoid morphism  $g_2$  of  $h_2$  is injective.  $\square$ 

Now we are ready for the main result.

**Theorem 8.** Suppose the basic semiring A equals  $\mathbf{Q}_+$ ,  $\mathbf{N}$  or  $\mathbf{B}$ . Then it is decidable whether or not a given D0L power series  $r = \sum_{n=0}^{\infty} ah^n(w)$  over A is A-algebraic.

**Proof.** If h is elementary, apply the method of Lemma 6. If h is simplifiable, let  $h_1$  and  $h_2$  be as in Lemma 7 (where now Y = X.) Denote

$$r_1 = \sum_{n=0}^{\infty} a(h_1 h_2)^n (h_1(w)).$$

Hence,  $r_1 \in A \ll X_1^* \gg$  is a D0L power series and

$$r = aw + h_2(r_1).$$

Because  $h_2$  is nonerasing, the A-algebraicness of  $r_1$  implies that of r. Conversely, if r is A-algebraic, so is  $r_1$  because

$$g_2^{-1}(\sum_{u\neq w}(r,u)u)=r_1.$$

Consequently, it suffices to decide whether or not  $r_1$  is A-algebraic. Continuing in the same way it is seen that after finitely many steps we are in a position to apply the method of Lemma 6.  $\square$ 

If the basic semiring A equals the Boolean semiring, Theorem 8 implies a new method to decide whether or not a given D0L language is context-free (see [Salomaa 75]).

### 4 The case A = Q

In this section we briefly discuss the case  $A = \mathbf{Q}$ . We start with a problem concerning algebraic series.

Fix a semiring A. Let  $X = \{x_i \mid i \in \mathbb{N}\}$  be an infinite alphabet and denote  $X_k = \{x_1, x_2, \dots, x_k\}$  for  $k \geq 1$ . Define the series  $P_k \in A \ll X_k^* \gg$  by

$$P_k = \sum_{n=1}^{\infty} x_1^n x_2^n x_3^n \dots x_k^n.$$

We claim that if  $P_{k+1}$  is A-algebraic, so is  $P_k$ ,  $k \ge 1$ . For the proof, define the morphisms  $g: X_{k+1}^* \longrightarrow X_k^*$  and  $h: X_k^* \longrightarrow X_k^*$  by

$$g(x_i) = x_i^2$$
 for  $1 \le i \le k - 1$ ,  
 $g(x_k) = g(x_{k+1}) = x_k$ 

and

$$h(x_i) = x_i^2$$
 for  $1 \le i \le k$ .

Then we have  $P_k = h^{-1}(g(P_{k+1}))$  which implies the claim by the closure properties of A-algebraic series.

Now, an integer k is called the ALG-bound for A if k is the largest integer such that  $P_k$  is A-algebraic. If no such k exists, the ALG-bound for A equals  $\infty$ . By the claim established above,  $P_k$  is A-algebraic if and only if k is at most the ALG-bound for A.

If A is a positive semiring the ALG-bound for A equals two. We do not know the ALG-bound for  $A = \mathbf{Q}$ .

Next, suppose the basic semiring A equals  $\mathbf{Q}$ . By the previous section it is decidable whether or not a given D0L power series over  $\mathbf{Q}$  is  $\mathbf{Q}$ -algebraic. However, an explicit algorithm is obtained only if the ALG-bound for  $\mathbf{Q}$  is known.

The decidability of algebraicness of D0L power series and the determination of ALG-bounds are closely related. In fact, if A is any semiring such that A-algebraicness is decidable for D0L power series over A then the ALG-bound for A is effectively computable if it is finite. This follows because  $P_k$  is A-algebraic if and only if the series

$$T_k = \sum_{n=1}^{\infty} y_1 x_1^n y_2 x_2^n \dots y_k x_k^n$$

is A-algebraic. (Here  $y_1, \ldots, y_k$  are new letters.) Furthermore,  $T_k$  is a D0L power series over A.

#### References

[Berstel and Reutenauer 88] Berstel, J. and Reutenauer, C.: "Rational Series and Their Languages"; Springer, Berlin (1988).

[Honkala 95] Honkala, J.: "On morphically generated formal power series"; RAIRO, Theoret. Inform. and Appl. 29 (1995) 105-127.

[Honkala 97] Honkala, J.: "On the decidability of some equivalence problems for L algebraic series"; Intern. J. Algebra and Comput. 7 (1997) 339-351.

[Honkala 98] Honkala, J.: "On D0L power series"; Theoret. Comput. Sci., to appear. [Honkala 00] Honkala, J.: "On sequences defined by D0L power series"; submitted.

[Kuich and Salomaa 86] Kuich, W. and Salomaa, A.: "Semirings, Automata, Languages"; Springer, Berlin (1986).

[Rozenberg and Salomaa 80] Rozenberg, G. and Salomaa, A.: "The Mathematical Theory of L Systems"; Academic Press, New York (1980).
[Rozenberg and Salomaa 97] Rozenberg, G. and Salomaa, A. (eds.): "Handbook of

Formal Languages", Vol. 1-3; Springer, Berlin (1997).

[Salomaa 75] Salomaa, A.: "Comparative decision problems between sequential and parallel rewriting"; Proc. Symp. Uniformly Structured Automata and Logic (1975) 62-66.

[Salomaa and Soittola 78] Salomaa, A. and Soittola, M.: "Automata-Theoretic Aspects of Formal Power Series"; Springer, Berlin (1978).